



Ethics Management

Follow the Path of Sensitive Data Lead Ethical Practices

Federal laws require that each agency conduct an ethics program that includes annual financial disclosure reports, ethics rules training for specific employees, and ethics rules advice and counseling. The detailed and sensitive nature of such programs requires a dynamic case management system that consolidates reporting requirements, streamlines the filing process and reduces the number of required staff hours and resources.

Tyler's Ethics Management application automates the preparation, review, and filing of annual financial disclosure and ethics training reports. It identifies all reportable financial information, flags missing and incorrect data, and prepopulates reports with prior information, thereby reducing errors, increasing data accuracy and speeding the overall process. The Ethics Management application helps organizations remain compliant with Office of Government Ethics regulations, including the process flows for standard (SF 278) and confidential (OGE 450) Financial Disclosure Forms.

CLEAR OVERSIGHT AND PROGRAM CONTROL

Overseeing an ethics management program requires a platform that empowers front-line workers to efficiently collect and collate relevant information and to direct program participants towards compliance.

CLIENTS

These are organizations that have entrusted their Ethics Management programs to Tyler Technologies.



KEY CASE FLOWS AND TRACKED ELEMENTS

- Due Dates
- Types of Disclosure
- Extension Status
- Legal Review Status
- Clarification & Correction Status
- Submission Status
- Training Programs
- Ethics Training Dates Attended

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PROVIDING IMPLEMENTATION FLEXIBILITY

Based on Tyler's Application Platform, powered by Entellitrak®, the Ethics Management application is designed using open standards, open architecture, and environment independence. It offers extensibility, interoperability, and portability to organizations of all sizes. In-house developers can fine tune the application to accommodate unique requirements, dramatically reducing both implementation time and cost. In addition, the Ethics Management application can be used as either a stand-alone system or as a case management component within an existing Ethics Management program.

By using the Ethics Management application, organizations are able to:

- Track, manage and report on virtually all ethics data, events and procedures
- Model their case flows while ensuring data consistency and integrity
- Create and maintain a calendar of events tied to reporting requirements
- Automatically generate event-related messages and alerts
- Monitor ethics training inclusive of dates delivered and attendee lists
- Resolve privacy concerns and reduce the strain on staff and resources
- Eliminate the need to mail sensitive records requiring an original signature

REPORTS TAILORED TO ETHICS MANAGEMENT PROGRAMS

The Ethics Management application adheres to the complex rules and regulations that drive ethics management programs and includes numerous summary reports that can be run by users based on defined roles and access permissions.

- Ethics Pledge Compliance Assessment Report
- Ethics Training Compliance Report
- Late Filing Fee-Liable Report
- Travel Report for Payments of Travel Accepted from Non-Federal Sources
- End of Confidential Financial Disclosure Reporting Period Report
- End of the Public Financial Disclosure (PFD) Reporting Period Report
- Public Financial Disclosure (PFD) Transaction and Termination Reports
- Financial Disclosure Certification Tracking Report

Contact us for a presentation and demonstration

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